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VIA ECF/EMAIL

Honorable Judge Stewart D. Aaron
United States District Court
Southern District of New York
40 Foley Square
New York, NY 10007

Re: *Kumaran vs. ADMIS* - 1:20-Cv-03873-GHW-SDA

Dear Hon Judge Aaron,

Pursuant to Order ECF51, the Court ordered Defendants to file on the record all Orders related to 18-ARB-5. Plaintiffs have noted that some of the Orders were not filed at ECF57 and are missing.

Accordingly Plaintiffs document their own record of Orders highlighting the ones that were missed by ADMIS. Also attached as exhibits are full copy of records. The Court should respectfully take note that the Panel used “Order numbers” such as Serve Order 1, Serve Order 2 up until Serve Order 19 and the following table lists the Orders as issued in Order Number sequence used by Panel and/or NFA.

Finally, Plaintiffs disagree with the Defendants’ characterization in the summary of the orders. The Orders contained sometimes multiple parts. For example, without limitation, Order 8 was a ruling on a “Defamation Litigation Notice” filed by ADMIS against Kumaran, individually, for speaking truthfully to another NFA member that her account had been unlawfully shared by ADMIS with disbarred Vision defendants. ADMIS sent “defamation litigation notices” threatening to bring Kumaran individually into Federal Court for being truthful to another CTA and discussing the matter. The Panel ruled it had no jurisdiction over the matter. ADMIS instead wrongly categorizes this order as motion denying sanctions. Plaintiff also filed for sanctions as ADMIS (themselves) sought to void the arbitration and sue Kumaran individually in Federal Court for defamation – some irony in fact in the Motion to Compel – claiming these claims are non-arbitrable.

Attached are Plaintiffs complete records which include the missing items.

TABLE OF ORDERS 18-ARB-5

Date	Order_#	Summary	ADMIS Filed ECF57	Ruled by
8/6/2018		Order of Service	Exhibit 21	NFA
3/11/2019		Order on Panel Appointment	MISSING	NFA
6/11/2019	Order1	Reschedule Conference	Exhibit 24	Panel
6/27/2019	Order2	Prehearing Conference	Exhibit 25	Panel
7/1/2019		Conference Schedule	MISSING	NFA
8/7/2019	Order 3	Order on Motion to Disqualify	MISSING	Panel
8/28/2019	Order 4	Order on Motion to Disqualify	Exhibit 26	Panel
8/28/2019	Order 5	Order on Scheduling	Exhibit 27	Panel
9/12/2019	Order 6	Order on Scheduling	Exhibit 28	Panel
9/16/2019	Order 7	Order on Scheduling	MISSING	Panel
9/26/2019	Order 8	Order on Defamation out of jurisdiction	Exhibit 29	Panel
10/11/2019	Order 9	Order on ADMIS to produce	Exhibit 30	Panel
10/11/2019	Order 10	Order on NFA to produce	Exhibit 31	Panel
10/11/2019	Order 11	Order on James Koutoulas deposition	Exhibit 32	Panel
10/22/2019	Order 12	Order on James Koutoulas deposition	Exhibit 33	Panel
10/31/2019	Subpoena	Panel signed subpoena 1 on NFA	MISSING	Panel
11/1/2019	Order 13	Order on subpoena 2 on NFA	MISSING	Panel
11/4/2019	Subpoena	Panel signed subpoena 2 on NFA	MISSING	Panel
11/5/2019	Order 14	Order on reconsideration	Exhibit 34	Panel
11/13/2019	Order 15	Order deny change to Koutoulas deposition	Exhibit 35	Panel
11/13/2019	Order 16	Order on Stay	Exhibit 36	Panel
11/17/2019	Order 17	Order granting stay	Exhibit 37	Panel
11/13/2020		Order on extension / Panel resigned	MISSING	NFA
12/15/2020	Order 18	Order on extension	Exhibit 38	Panel
1/15/2021	Order 19	Order on extension	Exhibit 39	Panel

Respectfully submitted,

//SSK//

Samantha Siva Kumaran

//BMA//

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